

800.1015

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Re: Application of: Alexander KOZAK, et al.  
Serial No.: To Be Assigned  
Filed: Herewith  
For: **PHOSPHOLIPID PRODRUGS OF ANTI-  
PROLIFERATIVE DRUGS**

Assistant Commissioner for Patents  
Washington, D.C. 20231

March 13, 2002

**INFORMATION DISCLOSURE STATEMENT**

Sir:

In accordance with the provisions of 37 C.F.R. § 1.97, Applicants hereby make of record the documents listed on the accompanying Form PTO-1449 for consideration by the Examiner in connection with the examination of the above-identified patent application. Copies of the references cited are enclosed herewith.

This Information Disclosure Statement is filed under 37 C.F.R. §1.97(b) before the mailing of a first Office Action on the merits. Accordingly, it is believed that no fee is due. However, if it is determined that any fee is due, the Examiner is authorized to charge said fee to Attorney Deposit Account No. 50-0552.

This Information Disclosure Statement is not a representation that the documents cited herein are considered most pertinent, or that a search has been undertaken or that any of the cited documents is indeed prior art. The Examiner is invited to undertake an independent search.

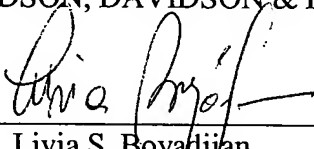
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It is respectfully requested that the references cited on the accompanying PTO Form-1449 be considered and made of record. Applicants further request that a copy of the Form PTO-1449, appropriately initialed by the Examiner be returned to Applicant's attorney. If any of the publications listed thereon are missing, the Examiner is requested to contact the undersigned so that a copy can be promptly forwarded.

A copy of the International Search Report is attached.

It is respectfully submitted that the pending claims are patentable over all of the references made of record at this time.

Respectfully Submitted,  
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13 Rec'd PCT/PTO  
Sheet 1 of 1  
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(REV. 7-80)U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICE

ATTY. DOCKET NO.: 800.1015

SERIAL NO.: To Be Assigned

## LIST OF PRIOR ART CITED BY APPLICANT

(Use several sheets if necessary)

APPLICANT(S): Alexander KOZAK, et al.

FILING DATE: Herewith

GROUP:

## U.S. PATENT DOCUMENTS

*EXAMINER INITIAL		DOCUMENT NUMBER							DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AA	4	7	7	2	5	9	4	09/20/88	Hashimoto, et al.			
	AB	5	1	4	9	7	9	4	09/22/92	Yatvin, et al.			
	AC	5	4	1	1	9	4	7	05/02/95	Hostetler, et al.			
	AD	5	5	4	3	3	8	9	08/06/96	Yatvin, et al.			
	AE	6	0	2	8	0	6	6	02/22/00	Unger			
	AF	6	0	7	7	8	3	7	06/20/00	Kozak			
	AG												

## FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER							DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
													YES	NO
	AH	9	0	0	0	5	5	5	01/25/90	WO				
	AI	9	1	1	6	9	2	0	11/14/91	WO				
	AJ	9	3	0	0	9	1	0	01/21/93	WO				
	AK	9	4	2	2	4	8	3	10/13/94	WO				
	AL													

## OTHER PRIOR ART (Including Author, Title, Date, Pertinent Pages, Etc.)

	AM	
	AN	
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	AR	
	AS	

EXAMINER

DATE CONSIDERED

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.